



Unincorporated Association Certification

This form must be completed in its entirety and must be signed by *all Officers* of the unincorporated association. Please note that unincorporated association accounts may also be required to submit a copy of the charter, constitution or bylaws to the Registered Investment Advisor (“RIA”) before acceptance of the account or prior to executing certain transactions or requests.

STEP 1: ACCOUNT INFORMATION

Account Title (Title of the Unincorporated Association to which this certification applies)		Account Number
Number of Officers on the Account		Date of Resolution Adoption Board Meeting
Was this Unincorporated Association organized outside of the United States? <input type="radio"/> Yes <input type="radio"/> No If yes, please attach a copy of the appropriate version of IRS Form W-8 Certificate of Foreign Status that applies to this Unincorporated Association and the formation documents.		
Tax ID	Governing State Law	

STEP 2: OFFICERS - All Officers to the Unincorporated Association must be listed below.

Check one of the following if there is more than one officer:

- The bylaws explicitly authorize each of the following Officers to *act individually without the approval of the other Officers*. The RIA and/or Axos Advisor Services has the authority to accept orders and other instructions in connection with the unincorporated association account from any one of these Officers, acting alone, and such Officer may execute any documents on behalf of the unincorporated association that the RIA and/or Axos Advisor Services may require. (Under certain circumstances and in its discretion, the IBD or Axos Advisor Services may require the written approval of all Officers.)
- The bylaws *do not* authorize the Officers to act individually on behalf of the unincorporated association account.

ALL OFFICER(S) (trading and non-trading)

The following person(s) are duly elected, qualified and acting Officer(s) of the unincorporated association:

Officer 1 Name	Title	<input type="checkbox"/> Authorized Officer
Signature		
Officer 2 Name	Title	<input type="checkbox"/> Authorized Officer
Signature		
Officer 3 Name	Title	<input type="checkbox"/> Authorized Officer
Signature		
Officer 4 Name	Title	<input type="checkbox"/> Authorized Officer
Signature		

For additional officers(s), attach an additional Unincorporated Association Certification.

SOLE OFFICER CERTIFICATION

- By checking this box, I affirm that the laws of the state in which the unincorporated association has been formed expressly permit the same person to hold the offices of both President and Secretary of an unincorporated association, and that I presently hold both such offices of the unincorporated association named above. I understand the IBD and/or Axos Advisor Services will rely upon this certification in accepting documents executed by me as the Sole Officer. I agree to inform the IBD and/or Axos Advisor Services promptly of any changes to my status as Sole Officer.



STEP 3: POWERS OF THE UNINCORPORATED ASSOCIATION

The undersigned Officer(s) certify that, under the bylaws and/or applicable state or local law, they have the power to enter into transactions for the purchase and sale of securities and other investments, including without limitation, stocks (preferred or common), bonds, mutual funds and certificates of deposit.

In Addition to the Foregoing Powers, the Undersigned Officers are Specifically Authorized to:

<input type="radio"/> Yes <input type="radio"/> No	Borrow money or make any contract the effect of which is to borrow money, and secure such obligations by mortgages or other liens upon any unincorporated association property; borrow, guarantee and/or pledge any unincorporated association assets as collateral, as the case may be, with respect to a loan; guarantee a borrowing of money or to make any contract the effect of which is to guarantee a borrowing, and secure such obligations by mortgages or other liens upon any unincorporated association property.
<input type="radio"/> Yes <input type="radio"/> No	Sell securities that the unincorporated association does not own (short sales) and borrow securities to facilitate this practice.
<input type="radio"/> Yes <input type="radio"/> No	Delegate Officer powers, including check writing authority, to third parties, such as investment advisors or other agents, under a Power of Attorney ("POA"), other document, or the Bylaws. A copy of the POA, other document, or section of the Bylaws showing the delegation of such power in undersigned's capacity as Officer is also required.
<input type="radio"/> Yes <input type="radio"/> No	Establish and maintain an asset management account with a debit card and/or check writing, from which account funds are directly spent, the responsibility for which is entirely that of the Officer(s).
<input type="radio"/> Yes <input type="radio"/> No	Receive on behalf of the unincorporated association or deliver to the unincorporated association or third parties any and all assets including, but not limited to, monies, stocks, bonds, and other securities. To sell, assign and endorse for transfer certificates representing stocks, bonds, and other securities now registered or hereafter registered in the name of the unincorporated association.
<input type="radio"/> Yes <input type="radio"/> No	Transfer any and all assets of the unincorporated association to any of the Officer(s) individually.
<input type="radio"/> Yes <input type="radio"/> No	Execute and sign Form W-9 for a US unincorporated association or the relevant Form W-8 for a foreign unincorporated association.

STEP 4: OFFICER(S) INDEMNIFICATION AND SIGNATURES

The undersigned Officers jointly and severally indemnify and hold harmless the RIA and Axos Advisor Services, and each of their affiliates, officers, directors, employees, agents, successors or assigns from any liability (including attorney's fees) from and against any claims, judgments, expenses, liabilities or costs of defense or settlement arising out of or related to breach of any representation or warranty made herein, or from effecting any transfer or transactions pursuant to instructions given by any of the Officers listed above, or any actual improper or unsuitable action resulting from instructions given to the RIA or Axos Advisor Services by any of the Officers. This indemnification is made by us both in our capacities as Officers and in our individual capacities. We certify that the unincorporated association is currently in existence, has not been revoked, modified or amended in any manner that would cause the certifications herein to be incorrect and, we agree to inform the RIA and/or Axos Advisor Services immediately in writing of any amendment to the Bylaws, any change in the composition of the Officers, or any other event which could alter the certifications made above. We acknowledge the RIA's and/or Axos Advisor Services' right to examine the Bylaws or any other governance document and hereby agree to provide the RIA or Axos Advisor Services with a copy of these documents if so requested. (Where applicable, plural references in this certification shall be deemed singular.)

SIGNATURES		
Officer 1 Signature x	Print Name	Date
Officer 2 Signature x	Print Name	Date
Officer 3 Signature x	Print Name	Date
Officer 4 Signature x	Print Name	Date

Axos Principal Signature x	Print Name	Date
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