

# Corporate Account Certification

Axos Advisor Services will use this Certification to identify those person(s) who a corporate account holder represents are authorized to act on behalf of that Corporation (as that term is defined in this Certification). The individual(s) completing and signing this Certification must be a different officer than the individual(s) signing the Brokerage Account Application, unless all officers are traders, or the Corporation has a Sole Officer as detailed below.

**ACCOUNT INFORMATION – REQUIRED**

<b>Account Title (Name of this account)</b>	<b>Account</b>
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<b>Name of Officer</b>		<b>Officer's Title</b>	
<b>Corporation Name</b>	<b>State of Incorporation</b>	<b>Tax Id of Corporation</b>	<b>Nature of Business/Industry</b>

**OFFICER CERTIFICATION**

I, hereby certify that I am a duly elected, qualified and acting officer of the above-named corporation (the "Corporation"), incorporated under the laws of the state listed above.

I further certify that included as part of this Certification are Resolutions duly adopted by the Board of Directors of the Corporation at a meeting duly called and held in accordance with any and all applicable laws and the Bylaws of the Corporation, and at which the required quorum was present and acting.

**ALL CORPORATE OFFICER(S)** (trading and non-trading)

**The following person(s) are duly elected, qualified and acting officer(s) of the Corporation:**

Name	Title	<input type="checkbox"/> Authorized Officer
Signature		
Name	Title	<input type="checkbox"/> Authorized Officer
Signature		
Name	Title	<input type="checkbox"/> Authorized Officer
Signature		
Name	Title	<input type="checkbox"/> Authorized Officer
Signature		

*For additional officers, attach an additional Corporate Account Certification.*

**SOLE OFFICER CERTIFICATION**

By checking this box, I affirm that the laws of the state in which the Corporation has been formed expressly permit the same person to hold the offices of both President and Secretary of a corporation, and that I presently hold both such offices of the Corporation named above. I understand Axos Advisor Services will rely upon this certification in accepting documents executed by me as the Sole Officer. I agree to inform Axos Advisor Services promptly of any changes to my status as Sole Officer.



**RESOLUTIONS.**

It is hereby resolved by the Corporation that:

1. Any one of the above designated officers is authorized to enter into a cash account agreement and open a brokerage account in the name of and on behalf of the Corporation, and to direct the purchase, sale, or transfer of, and otherwise deal in, stocks and bonds contracts, and other securities;
2. Any one of the above designated officers, or any other officer or agent of the Corporation authorized to draw upon or pay out the Corporation's funds, is authorized to make payment out of Corporation's funds for any items which may be payable in connection with any such purchase or sale or the exercise of the authority conferred by these Resolutions;
3. Any one of the above designated officers, or any other officer or agent of the Corporation entrusted with the care or custody of any stocks, bonds and other securities sold or to be sold pursuant hereto, is authorized to deliver the same to Axos Clearing and to make any endorsement necessary to effect transfer or change of title;
4. Any one of the above designated officers, is authorized to receive from Axos Advisor Services demands, notices, confirmations, reports, statements of account and communications of every kind relating to the assets, securities or properties from time to time held or received by Axos Advisor Services;
5. Any one of the above designated officers is authorized: (i) to make agreements and give releases related to any of the matters in these Resolutions, (ii) to direct Axos Advisor Services to hold any stocks and bonds contracts and other securities for the account of the Corporation, and (iii) to direct Axos Advisor Services to cause any of such stocks, bonds and other securities to be issued or registered in the name of the Corporation, or in the name of Axos Advisor Services, or in such other name as such officer may direct; and
6. The Secretary or Assistant Secretary of the Corporation is directed: (i) to deliver to Axos Advisor Services a copy of these Resolutions, duly certified under the seal of this Corporation, (ii) to certify, with or without the seal of this Corporation, that neither the Articles of Incorporation nor the Bylaws of the Corporation nor any other corporate governance documents qualify or limit the power of the Corporation to acquire or dispose of shares or other interests in or obligations of other domestic or foreign corporations, associations, or partnerships, or qualify or limit the authority of the Board of Directors to adopt these Resolutions, and (iii) to certify a list of the names and signatures of the persons hereby empowered to act for and on behalf of the Corporation.

Axos Advisor Services shall be entitled to conclusively rely upon these Resolutions and any information provided by the Corporation and its agents in connection with these Resolutions and to assume conclusively that these Resolutions continue in effect without modification until provided with written notice to the contrary. Axos Advisor Services is hereby indemnified and held harmless from any loss suffered or liability incurred by it in reliance on the information provided by the Corporation and its agents in connection with these Resolutions or any actions taking by the Corporation and its agents pursuant to these Resolutions.

<b>SIGNATURES – By signing below, the undersigned hereby affirms these Resolutions.</b>		
Non-Trading or Sole Officer's Signature ✕	Print Name	Date
Axos Principal Signature ✕	Print Name	Date